

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,754	05/01/2001	Albert A. Reff	43569.010200

CONFIRMATION NO. 3682

## FORMALITIES LETTER



\*OC000000006161811\*

GREENBERG TRAURIG, LLP  
885 Third Avenue  
New York, NY 10022-4834

Date Mailed: 06/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



*M*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#7030648

OTPE

JAN 09 2002  
PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
43569.010200

In Re Application Of: Albert A. REFF, M.D.

Serial No.  
09/845,754

Filing Date  
May 1, 2001

Examiner  
NYA

Group Art Unit  
2164

Title: METHOD AND APPARATUS FOR PROVIDING INVENTORY CONTROL OF MEDICAL OBJECTS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: Petition for Extension of Time Under 37 CFR 1.136(a), Response to Notice of Incomplete Reply (Nonprovisional), Notice of Incomplete Reply (Nonprovisional), Notice to File Missing Parts of Nonprovisional Application, Four (4) Drawing Sheets.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1561 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$435.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: 11/08/01

I certify that this document and fee is being deposited on Nov 8, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

David L. Heath

Typed or Printed Name of Person Mailing Correspondence

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Albert A. REFF, M.D.**

Docket No.

**43569.010200**

Serial No.

**09/845,754**

Filing Date

**May 1, 2001**

Examiner

**NYA**

Group Art Unit

**2164**Invention: **METHOD AND APPARATUS FOR PROVIDING INVENTORY CONTROL OF MEDICAL OBJECTS**

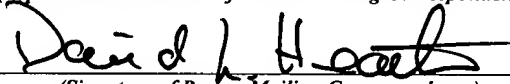
Petition for Extension of Time Under 37 CFR 1.136 (a), Response to Notice of Incomplete Reply (Nonprovisional), Notice of Incomplete Reply (Nonprovisional), Notice to File Missing Parts of Nonprovisional Application, Four(4) Drawing Sheets, Post: Card Transmittal Letter.

I hereby certify that this

*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**Nov 8, 2001***(Date)***David L. Heath***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

#8

PIPE  
JAN 09 2002  
PATENT & TRADEMARK OFFICE

PETITION FOR EXTENSION OF TIME TO FILE

JAN 09 2002

NOTICE OF APPEAL (Small Entity)

Docket No.

43569.010200

Inventor Application Of: Albert A. REFF, M.D.

Serial No.

09/845,754

Filing Date

May 1, 2001

Examiner

NYA

Group Art Unit

2164

Invention: METHOD AND APPARATUS FOR PROVIDING INVENTORY CONTROL OF MEDICAL OBJECTS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of June 8, 2001 above-identified application.  
*Date*

The requested extension is as follows (check time period desired):

☐ One month    ☐ Two months    ☒ Three months    ☐ Four months    ☐ Five months

from: August 8, 2001

*Date*

until: November 8, 2001

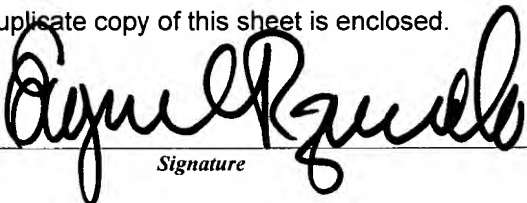
*Date*

A verified statement of small entity status as a small entity under 37 CFR 1.27:

- ☐ is enclosed.  
☐ has already been filed in this application.

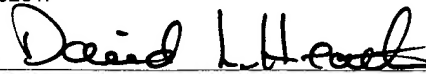
The fee for the extension of time is \$435 and is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.  
☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1561  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: 11/08/01

I certify that this document and fee is being deposited on Nov 8, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



*Signature of Person Mailing Correspondence*

David L. Heath

*Typed or Printed Name of Person Mailing Correspondence*

01/15/2002 BNGUYEN1 00000001 501561 09845754

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cc: